

Job Advert Template	
Company Name	Masthead
Location ie: Where is the role based	Pretoria
Job Title	Compliance Officer
Closing Date for applications	20 May 2024
Contact person and email address for applications	Heidi de Lange, Regional Manager <u>vacancy@masthead.co.za</u>
Company Name	Masthead
Company Website URL	Careers - Masthead
Attach logo in PNG / JPG	MASTHEAD
Summary	To effectively deliver a quality compliance and risk management service to our clients at a regional level. Adherence to the compliance requirements as per FSCA, FICA, POPI, Long- and Short-term Insurer outsourcing, Company Act and NCR. To provide the best business solutions and train individuals and groups. CAT I and/or CAT II experience as well as Long, Short-term Insurer outsourcing experience will be an advantage.
Full job profile and job description	MINIMUM REQUIREMENTS – QUALIFICATIONS & WORK EXPERIENCE • Approved CO with FSCA • Category I and/or Category II experience • Insurer and outsourcing experience an advantage • Minimum 5 years' experience in compliance or risk management within the financial services industry Please note only applicants who meet these requirements will be considered for this position.



COMPLIANCE DUTY AND RESPONSIBILITY

- Outsourced compliance function delivered to customers.
- Fulfil compliance function required in terms of FSCA, FICA, POPI, Long- and Short-term Insurer outsourcing, Company Act and NCR and other applicable legislation and business requirements
- Establish a culture of compliance and provide a compliance risk framework to clients to achieve the TCF outcomes
- Services to be delivered to Independent FSPs, Corporate clients and various other customers
- Perform all functions to the standard and deadlines set by Regional Manager
- Provide clients with high-quality, personalised and substantive reports
- Include clients in compliance processes and provide postmonitoring feedback
- Maintain records as required by the business
- Display behaviour and attitudes which positively contribute to the well-being and development of the regional team, the company, and its clients
- Sourcing and signing up of new clients
- Implement solutions as per remedial actions

COMPETENCIES AND SKILLS

- A good working knowledge
- Understanding and knowledge of risk management principles
- Exceptional verbal and written communication skills
- Work under pressure, independently, and as part of a team
- Proficient presentation skills